

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ARTHUR GERINGER et al.

Serial No. 10/798,495

Group Art Unit: unknown

Filed: March 10, 2004

Examiner: unknown

Title: INTERCHANGEABLE LOCK OPERABLE IN FAIL SAFE  
OR FAIL SECURE MODES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing of the above referenced application or before the mailing date of a first Office Action on the merits for the above-referenced application.

In accordance with the PTO procedure, (all patent applications filed after June 30, 2003), we have not enclosed the U.S. patent references cited on PTO form 1449.

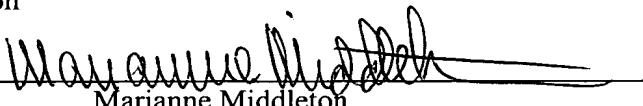
Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

5/05/04  
Date

  
Marianne Middleton

against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

Dated: 4/5/04

  
\_\_\_\_\_  
Jaye G. Heybl  
Registration No. 42,661  
Attorney for Applicant

KOPPEL, JACOBS, PATRICK & HEYBL  
555 St. Charles Drive, Suite 107  
Thousand Oaks, California 91360  
(805) 373-0060  
M/J4-744-27-035IDS-1.97(b).doc

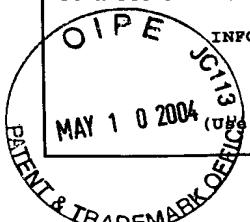
FORM PTO-1449 (Modified)

Docket No.  
744-27-035Application Number  
10/798,495INFORMATION DISCLOSURE CITATION  
IN AN APPLICATIONApplicant  
ARTHUR GERINGER et al.Filing Date  
March 10, 2004

Group Art Unit

MAY 10 2004 (Use several sheets if necessary)

SC113



## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Subclass	Filing Date If Appropriate
	4	0	1	7	1	0	7	04/10/77	HANCHETT	292	341.16	
	4	4	3	9	8	0	8	03/27/84	GILLHAM	361	144	
	4	6	0	9	9	1	0	09/02/86	GERINGER et al.	340	545	
	4	6	2	6	0	1	0	12/02/86	HANCHETT JR. et al.	292	341.16	
	4	6	5	2	0	2	8	03/24/87	LOGAN et al.	292	251.5	
	4	7	2	0	1	2	8	01/19/88	LOGAN JR., et al.	292	251.5	
	5	0	0	0	4	9	7	03/19/91	GERINGER et al.	292	251.5	
	5	4	8	4	1	8	0	01/16/96	HELMAR	292	341.16	
	5	8	7	6	0	7	3	03/02/99	GERINGER et al.	292	144	
	5	9	3	3	0	6	7	08/03/99	FROLOV	335	251	
	6	5	8	1	4	2	3	06/24/03	LIN	70	107	

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(2-92) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE